

Risk Tolerance Questionnaire

If you own a home, do you have more than 30% equity?

- No
- Yes

Which of the following best describes your current employment situation?

- Full-Time
- Part-Time
- Retired
- Unemployed

From an original investment of \$15,000, your portfolio now worth \$25,000 suddenly declines \$3,750 or 15%, which best describes your response?

- I would look for a way to invest more
- I would take no action
- I would be somewhat concerned
- I would avoid any investment that could suddenly lose 15% of its value (Choose last answer for next question)

Your portfolio, from previous question, now worth \$21,250 suddenly declines another \$2,125 or 10%, which best describes your response?

- I would look for a way to invest more
- I would take no action
- I would be somewhat concerned
- I would probably sell
- I would never have made this investment (chosed last answer on previous question)

Have you invested in Equities?

- No
- Yes

Have you invested in Fixed Incomes?

- No
- Yes

Risk Tolerance Questionnaire

Have you invested in Mutual Funds?

- No
 Yes

Have you invested in Options, Futures, or Derivatives?

- No
 Yes

How would you describe your level of investment knowledge?

- None
 Limited
 Good
 Extensive

How much investment experience do you have?

- None
 Limited (1 to 3 years)
 Good (3 to 5 years)
 Extensive (> 5 years)

Do you have current income needs from this investment?

- Yes
 No

When will you begin to use the money from your goal?

- Less than two years
 Two to five years
 Five to ten years
 More than 10 years

Risk Tolerance Questionnaire

Additional - Notes:
